

POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	PCT/US2005/008147
	Filing Date	11 March 2005
	First Named Inventor	McAllister
	Title	Pharmaceutical Formulations
	Art Unit	
	Examiner Name	
	Attorney Docket Number	PU60746

I hereby appoint:

☒ **Practitioners associated with the Customer Numbers. 20462**

Or

☐ Practitioner(s) named below:

Name	Registration Number

As my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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Telephone:

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I am the:

☐ Applicant/Inventor:☒ Assignee or record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of RecordSignature: 

Date: 9 August 2006

Name: **Stephen Venetianer**

Telephone: 610-270-5040

Title and Company: **Attorney and Authorised Official SMITHKLINE BEECHAM PLC**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of: _____ forms are submitted.

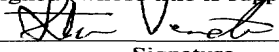
This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is essential to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: SmithKline Beecham plc****Application No./Patent No.: PCT/US2005/008147 Filed/Issue Date: 11 March 2005****Entitled: Pharmaceutical Formulations****SMITHKLINE BEECHAM PLC, a corporation, states that it is:**

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____% in the patent application/patent identified above by virtue of either;
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which copy thereof is attached.
- OR
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- ☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



 Signature

 9 August 2006
 Date

 Stephen Venetianer

 Printed or Typed Name

 610-270-5040

 Telephone Number

 Attorney and Authorised Official

 Title

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is essential to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Assignment

WHEREAS, I **Ronald K. RABY Jr.** of 1250 South Collegeville Road, Collegeville, Pennsylvania 19426 United States of America, a citizen of the United States of America, respectively have invented or discovered certain improvements in **Pharmaceutical Formulations** hereinafter referred to as said invention and improvements for which a priority application 60/552,499 was filed on 12 March 2004 in the United States Patent and Trademark Office and for which a PCT international application is now being filed designating the United States of America and naming assignor as inventor, and in the United States only applicant/inventor. I hereby authorize and request that the filing date and application number of said PCT application, when known be inserted in parentheses below.

(PCT/US2005/008147 filed 11 March 2005)

WHEREAS, **SMITHKLINE BEECHAM PLC.**, a company incorporated in England, whose registered office is at 980 Great West Road, Brentford, Middlesex, TW8 9GS, England, is desirous of acquiring the whole right, title and interest in and to said invention and improvements, and in and to any applications for said invention and improvements and any Letters Patent to be obtained therefor, in all countries, including the United States, its territories and possessions;

NOW, THEREFORE, to all whom it may concern, be it known that I, **Ronald K. RABY Jr.** for good and valuable consideration unto me/us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer my/our whole right, title and interest in and to said invention and improvements to said **SMITHKLINE BEECHAM PLC.**, throughout the United States of America, its territories and possessions, and in and to said application and any extensions, reissues, continuations, continuations-in-part, and any divisions thereof, and in and to any and all Letters Patent of the United States of America;

AND, my/our whole right, title and interest in and to said invention and improvements to **SMITHKLINE BEECHAM PLC.**, in all other countries throughout the world, and in and to any applications in said other countries, and continuations-in-part, patents of addition, revalidation patents, patents of importation, registrations, and any renewals, extensions and divisions thereof, and in and to any and all Letters Patent of said all other countries which may be granted on said invention and improvements including any priority rights under the International Convention.

AND, I do hereby authorize and request the issue of any Letters Patent in the respective areas referred to, to said **SMITHKLINE BEECHAM PLC**, as assignees of my/our whole right, title and interest in and to the same for the sole use and behalf of the said assignees, their successors and assigns as their interests appear herein;

AND, I warrant that I have not knowingly conveyed to others any right in said invention, improvements, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing said invention and improvements and that I have good right to assign the same to **SMITHKLINE BEECHAM PLC**;

AND, I the undersigned **Ronald K. RABY Jr** for the consideration aforesaid, do hereby agree that I or my/our executors or legal representatives, will provide information and make, execute and deliver any and all other instruments in writing, and any and all further acts, application papers, affidavits, assignments and other documents which may be necessary or desirable to more effectually secure to and vest in said **SMITHKLINE BEECHAM PLC**, their successors and assigns, the whole right, title and interest in and to the said invention and improvements, applications, Letters Patent, rights, title and interest hereby sold, assigned and conveyed, or intended so to be. *This assignment should be deemed effective as of 12 March 2004*

IN WITNESS WHEREOF, I have hereunto set my/our hand(s) and affixed my/our seal(s) on the date(s) indicated below.



Inventor: **Ronald K. RABY Jr**

14-APR-2005

Date

ASSIGNMENT

WHEREAS I/WE, **Adrian BROWN, Daniel N MARGETSON, Wayne M MATTHEWS** and **Stephen Mark McALLISTER** of **Harlow, Essex, GB** (hereinafter called "the inventor(s)") have invented or discovered "**PHARMACEUTICAL FORMULATIONS**" (hereinafter called "the invention") for which a patent application no. **60/552,499** was filed on **12 March 2004** in the United States Patent and Trademark Office and for which an international patent application is now being filed designating the United States of America. I/we hereby authorize and request that the filing date and PCT International application, when known, be inserted here in parentheses;

(PCT/US2005/008147 filed 11 March 2005)

WHEREAS the invention, being made in the course of my employment, belongs to my/our employer, namely **GLAXOSMITHKLINE SERVICES UNLIMITED** a company incorporated in England and Wales whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, and

WHEREAS GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, a company incorporated in England and Wales whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of acquiring from **GLAXOSMITHKLINE SERVICES UNLIMITED** the whole right, title and interest in and to the invention and the application, and

GLAXOSMITHKLINE SERVICES UNLIMITED and **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** have authorised and requested my/our making the application, and

WHEREAS GLAXO GROUP LIMITED a company organised and existing under and by virtue of the laws of England and Wales and having its principal place of business at Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 0NN, England, is desirous of acquiring from **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** the whole right, title and interest in and to the invention and the application in respect of the United States of America;

NOW, THEREFORE, to all whom it may concern be it known that I/we, the inventor(s), hereby confirm the ownership by **GLAXOSMITHKLINE SERVICES UNLIMITED** of the invention and the application by operation of law and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in the invention and the application (which I/we do not believe to be the case and claim no ownership right, title or interest in the invention and the application based on the law of the United Kingdom), I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and the application to **GLAXOSMITHKLINE SERVICES UNLIMITED**. **GLAXOSMITHKLINE SERVICES UNLIMITED** in turn hereby assigns and transfers to **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** its whole right, title and interest in and to the invention and the application. **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** in turn hereby assigns and transfers to **GLAXO GROUP LIMITED** its whole right, title and interest in and to the invention and the application. **GLAXO GROUP LIMITED** in turn hereby assigns and transfers to **SMITHKLINE BEECHAM PLC** its whole right, title and interest in and throughout the United States of America in and to the invention and the application and in and to any priority rights in respect of the invention and the application and in and to any divisional application, continuation or continuation in part application thereof, and in and to any extension or re-issue thereof, and I/we the inventor(s) and **GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** and **GLAXO GROUP LIMITED** hereby authorise and request any patent arising therefrom in the United States of America be issued to **SMITHKLINE BEECHAM PLC**.

AND GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED and **GLAXO GROUP LIMITED** hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually secure to and vest in **SMITHKLINE BEECHAM PLC**, its successors and assigns, the whole right, title and interest in and to the invention and the application hereby assigned and transferred in respect of the United States of America.

IN WITNESS whereof and with effect from **12 March 2004** the inventor(s) and **Peter John GIDDINGS** as Attorney of **GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** and **GLAXO GROUP LIMITED** by virtue of Powers of Attorney granted by **GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** and **GLAXO GROUP LIMITED** respectively have hereunto set their respective hands.

X 
SIGNED by Adrian BROWN

Date: X 04 July 2005

✓ 
SIGNED by Daniel N MARGETSON

Date: ✓ 04 JULY 2005

✓ 
SIGNED by Wayne M MATTHEWS

Date: ✓ 04 July 2005

X 
SIGNED by Stephen Mark McALLISTER

Date: X 05-July-2005

SIGNED by Peter John GIDDINGS as the Attorney of each of **GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** and **GLAXO GROUP LIMITED**:



07 JUL 2005
7 JUL 2005
 Date: _____